



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO
09/259,620	02/26/1999	JAMES Q. MI	INTL-0160-US	5503
21906	7590 03/27/2006		EXAMINER	
TROP PRUNER & HU, PC			CALLAHAN, PAUL E	
8554 KATY F SUITE 100	REEWAY		ART UNIT	PAPER NUMBER
HOUSTON, T	TX 77024		2137	
			DATE MAILED: 03/27/2000	5

Please find below and/or attached an Office communication concerning this application or proceeding.

		•					
		Application No.	Applicant(s)				
Office Action Summary		09/259,620	MI ET AL.				
		Examiner	Art Unit				
		Paul Callahan	2137				
Period f	The MAILING DATE of this communication app for Reply	pears on the cover sheet wi	th the correspondence address				
WHI - Exte afte - If N - Fail Any	HORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DATE of SIX (6) MONTHS from the mailing date of this communication. O period for reply is specified above, the maximum statutory period volute to reply within the set or extended period for reply will, by statute, reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNIC 36(a). In no event, however, may a re will apply and will expire SIX (6) MON , cause the application to become AB	CATION.  Poply be timely filed  THS from the mailing date of this communication.  ANDONED (35 U.S.C. § 133).				
Status							
1)🖂	Responsive to communication(s) filed on 27 De	<u>ecember 2005</u> .					
2a)⊠	This action is <b>FINAL</b> . 2b) ☐ This action is non-final.						
3)□	☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is						
	closed in accordance with the practice under E	Ex parte Quayle, 1935 C.D	. 11, 453 O.G. 213.				
Disposit	tion of Claims						
4)🛛	Claim(s) 39-50 is/are pending in the application	n.					
•	4a) Of the above claim(s) is/are withdraw						
5)[	Claim(s) is/are allowed.						
6)⊠	Claim(s) 39-50 is/are rejected.						
7)	Claim(s) is/are objected to.						
8)□	Claim(s) are subject to restriction and/or	r election requirement.		•			
Applicat	tion Papers		· ·				
9)[	The specification is objected to by the Examine	r.					
10)	The drawing(s) filed on is/are: a) ☐ acce	epted or b) objected to b	by the Examiner.				
	Applicant may not request that any objection to the	drawing(s) be held in abeyan	ce. See 37 CFR 1.85(a).				
	Replacement drawing sheet(s) including the correcti	·	• • • • • • • • • • • • • • • • • • • •				
11)	The oath or declaration is objected to by the Ex	aminer. Note the attached	Office Action or form PTO-152.				
Priority	under 35 U.S.C. § 119						
	Acknowledgment is made of a claim for foreign ☐ All b)☐ Some * c)☐ None of:	priority under 35 U.S.C. §	119(a)-(d) or (f).	. •			
	1. Certified copies of the priority documents						
	2. Certified copies of the priority documents	·	·				
	3. Copies of the certified copies of the prior		received in this National Stage				
* (	application from the International Bureau See the attached detailed Office action for a list of		rappiyad				
`	see the attached detailed Office action for a list of	or the certified copies not i	eceiveu.				
Attachmen	nt(s)						
1) 🔲 Notic	ce of References Cited (PTO-892)		ummary (PTO-413)				
	ce of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO-1449 or PTO/SB/08)		l/Mail Date formal Patent Application (PTO-152)				
	er No(s)/Mail Date	6) Other:					

### **DETAILED ACTION**

1. Claims 39-50 are pending in the instant application and have been examined.

## Response to Arguments

2. Applicant's arguments filed 12-27-05 have been fully considered but they are not persuasive.

The applicant argues in traverse of the rejections of the claims as found in the previous Office Action in the case by asserting that Glasser '715 fails to teach the features of providing a visual interface to a user and prompting a user to allow or deny a request. Yet a review of the reference shows that Glasser does indeed teach these features at the passages cited in the previous Office Action: (col. 4 lines 12-18, col. 7 line 40 through col. 8 line 40, claim 35).

The applicant asserts that Glasser fails to teach notification to a user of a second computer system of a request from a first computer system to identify itself to the first system. Yet Claus was used to teach the feature of such a request from a first computer system to a second, Glasser was used only to teach notification of a user of a generic request from another computer, not a request for an identifier.

## Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

Application/Control Number: 09/259,620

Art Unit: 2137

invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

4. Claims 39, 41-43, 45-47, 49, and 50 are rejected under 35 U.S.C. 103(a) as being unpatentable over Claus et al, US 5,120,939, in view Glasser et al., US 5,956,715.

As for Claims 39 and 42, Claus teaches a method comprising: receiving, over a global computer network (fig. 6), a request from a first computer system, remote from a second, coupled to the global computer network for a second computer system coupled to the global computer network to provide an identification of the second computer system (fig. 1, step 3, item 700); the second computer system then provides a hash value to the first computer system (fig. 2 step 4, element 563), the hash value being generated by encryption of a key associated with a first computer system with an identifier that identifies a second computer system (fig. 2, step 4, element 563). Claus fails to explicitly teach providing a visual interface on the second computer system to notify a user of the second computer of the request and prompting the user to allow or deny the request. Glasser does teach the use of such a visual interface on a second system wherein a user of the second system is prompted by a request from a first system to approve or deny a request (col. 4 lines 12-18, col. 7 line 40 through col. 8 line 40, claim 35). Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate this feature into the system of Claus. It would have been desirable to do so as administrator-controlled response to network requests

Application/Control Number: 09/259,620

Art Unit: 2137

allows for greater security in authentication protocols. Motive to make this combination is found for example, in col. 1 line 45 through col. 2 line 2 where control of access to resources in a network is discussed. Claus teaches a database associated with the first computer (col. 12 line 5-44: "Peer to Peer Authentication", each computer has a database of secret codes)

As for Claim 41, Claus (fig. 6) teaches a networked environment in which two computers communicate via a public switched network and therefore the use of URL's is taught. Since the only information shared between the two computers is E2, the key necessarily indicates a web address.

As for Claims 43, 45 and 46, these Claims represent the computer program product embodied in a memory medium that when read out, cause the first and second computer systems to carry out the method of Claims 39, 41 and 42. Therefore Claims 43, 45, and 46 are rejected on the same basis as are Claims 39, 41 and 42.

As for Claims 47 and 50, Claus teaches a method comprising: receiving, over a global computer network (fig. 6), a request from a second computer system, remote from a first, coupled to the global computer network for the first computer system coupled to the global computer network to provide an identification of the first computer system (fig. 1, step 3, item 700); the first computer system then provides a hash value to the second computer system (fig. 2 step 4, element 563), the hash value being

Page 5

Art Unit: 2137

generated by encryption of a key associated with a second computer system with an identifier that identifies a first computer system (fig. 2, step 4, element 563). Claus fails to explicitly teach providing a visual interface on the first computer system to notify a user of the first computer of the request and prompting the user to allow or deny the request. Glasser does teach the use of such a visual interface on a first system wherein a user of the first system is prompted by a request from a second system to approve or deny a request (col. 4 lines 12-18, col. 7 line 40 through col. 8 line 40, claim 35).

Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate this feature into the system of Claus. It would have been desirable to do so as administrator-controlled response to network requests allows for greater security in authentication protocols. Motive to make this combination is found for example, in col. 1 line 45 through col. 2 line 2 where control of access to resources in a network is discussed. Claus teaches a database associated with the first computer (col. 12 line 5-44: "Peer to Peer Authentication", each computer has a database of secret codes)

As for Claim 49, Claus (fig. 6) teaches a networked environment in which two computers communicate via a public switched network and therefore the use of URL's is taught. Since the only information shared between the two computers is  $E_2$ , the key necessarily indicates a web address.

Page 6

Art Unit: 2137

5. Claims 40 and 44 are rejected under 35 U.S.C. 103(a) as being unpatentable over Claus and Glasser as applied to Claims 39, 43, and 47 above, and further in view of Lee et al., US 5,774,544.

As for Claim 40, Lee teaches the features of the claim that the combination of Claus and Glasser fail to teach, namely that an identifier that identifies the second computer system comprises a processor number (col. 1 lines 12-23). Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate this feature into the system of Claus and Glasser. It would have been desirable to do so since, as stated by Lee et al. in the cited passage, using serial numbers identifying microprocessors allows for better tracking of a hardware component.

As for claim 44, the claim is directed to the computer program product embodied in a memory medium that when read out, cause the first and second computer systems to carry out the method of claim 40. Therefore 44 is rejected on the same basis as Claim 40.

6. Claim 48 is rejected under 35 U.S.C. 103(a) as being unpatentable over Claus and Glasser as applied to Claim 47 above, and further in view of Lee et al., US 5,774,544.

Application/Control Number: 09/259,620

Art Unit: 2137

Lee teaches the features of the claim that the combination of Claus and Glasser fail to teach, namely that an identifier that identifies the first computer system comprises a processor number (col. 1 lines 12-23). Therefore it would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate this feature into the system of Claus and Glasser. It would have been desirable to do so since, as stated by Lee et al. in the cited passage, using serial numbers identifying microprocessors allows for better tracking of a hardware component.

#### Conclusion

7. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Art Unit: 2137

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paul E. Callahan whose telephone number is (571) 272-3869. The examiner can normally be reached on M-F from 9 to 5.

If attempts to reach the examiner by telephone are unsuccessful, the Examiner's supervisor, Emmanuel Moise, can be reached on (571) 272-3865. The fax phone number for the organization where this application or proceeding is assigned is: (571) 273-8300.

3-16-06 Paul Willohan

Matthew D. Anuller MATTHEW SMITHERS PRIMARY EXAMINER Act Unit 2137